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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/797,308	03/10/2004	Seela Raj D Rajaiah	70040140-1	4414

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AGILENT TECHNOLOGIES, INC.  
Legal Department, DL 429  
Intellectual Property Administration  
P.O. Box 7599  
Loveland, CO 80537-0599

EXAMINER
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DANIELS, ANTHONY J

ART UNIT	PAPER NUMBER
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2622

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	03/22/2007	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

# Office Action Summary

Application No.

10/797,308

Applicant(s)

RAJAIAH ET AL.

Examiner

Anthony J. Daniels

Art Unit

2622

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 29 January 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 21-40 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 21-40 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 12/4/2006 has been entered.

### ***Response to Arguments***

2. Applicant's arguments filed 12/04/2006 have been fully considered but they are not persuasive.

As to Applicant's arguments regarding the independent claims and the Abe in view of Haavisto rejection, Applicant asserts, "...the Examiner's office action fails to show where in Abe and/or Haavisto can be found "a converter that generates an average intensity value for each of the plurality of color components,"..." The examiner respectfully disagrees with this and submits that in Figure 1, Haavisto shows an A/D converter "54" converting the output from a light measuring sensor '33". This was cited on p. 4, Line 1 of the latest office action. Before explaining the examiner's interpretation of Haavisto, the examiner would like to consider applicant's response to the examiner remarks in the latest office action. On page 7 in the Remarks, Applicant writes, "...the Office action is drawing improper conclusions from Applicants' specification and thereby imposing improper limitations on Applicants' invention..." Without acquiescing as to legitimacy of this contention, the examiner's interpretation of

Art Unit: 2622

Haavisto is that the output of the A/D converter is an average value. More specifically, Haavisto provides an average of only one signal at the output of the A/D converter, which, as logically follows, is the input signal in digital form. Further, Applicant argues, "For example, contrary to the Office action assertion that the average intensity value is simply a digital signal that is an average of only one signal, a person of ordinary skill in the art will understand from the A-D conversion aspect that it is improper to draw such a conclusion. As is known, an A-D converter typically uses a sampling clock to sample an analog signal at various sampling instants and generate therefrom, a series of digital signals. In this case, the analog signal produced by color sensor 13 will be directly dependent upon the light incident on color sensor 13 and would typically, vary over time (even assuming in arguendo that the photo sensor produces "one" signal as alleged in the Office action)." While it is true that an analog signal produced from a color sensor varies over time, a person of ordinary skill in the art would not recognize sampling the signal over that time period and averaging the samples as a well-known process in the art. It is not clear from the specification how applicant is arriving at an average value. The specification does not have support for sampling and averaging a signal from the photodiode, because as mentioned above, this is not a well-known process in the art. Thus, the examiner submits that the average value being an average of only one signal is a reasonable interpretation in light of this disclosure.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

Art Unit: 2622

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 21 and 26-31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Abe (US 2001/0030694) in view of Haavisto (US 2001/0007470).

As to claim 21, Abe teaches an imaging device (Figure 1, digital still camera "100") comprising: a color filter array configured to generate a first set of red-green-blue (RGB) values from light incident upon the color filter array (Figure 3, CCD "116"; [0030], Lines 1-9); a first analog processing and analog-to-digital (A-D) conversion unit configured to receive the first set of RGB values and generate in response thereto, a set of digital RGB signals (Figure 3, A/D "222"); a color sensor that is independent of the color filter array (Figure 3, color temperature sensor "120" separate from the CCD "116"), the color sensor configured to generate a second set of RGB values from light incident upon the color sensor ([0023], Lines 6-9); and a white balance unit that uses intensity values for the RGB values to generate white balance information ([0036]). Although it is not stated explicitly, **Official Notice** is taken that the concept of amplifying signals accumulated on a photo sensor is well known and expected in the art. One of ordinary skill in the art would be motivated to do this, because it produces a more manageable value for processing (*The amplifier is the second analog processing circuit.*). The claim differs from Abe in that it further requires A-D conversion unit configured to receive the second set of RGB values and generate in response thereto, a set of average RGB signals.

In the same field of endeavor, Haavisto teaches a camera (Figure 1) performing white balance on an image taken by an image pickup unit. The camera utilizes a light measuring sensor, separate from the image pickup unit, that provides an overall intensity of the illuminating

Art Unit: 2622

light used for balancing the intensities of the color components of the image produced by the image pickup unit ([0037]). The signal representing the overall intensity is accumulated on a photodiode. An analog-to-digital converter (Figure 1, "54") then converts that signal to a digital signal that is then forwarded to a control unit to perform the aforementioned white balancing ([0037]). In light of the teaching of Haavisto, it would have been obvious to convert the RGB signals accumulated in the color temperature sensor of Abe to digital signals before being used for color temperature calculation, because an artisan of ordinary skill in the art would recognize the numerous advantages of utilizing digital signals in calculation.

As to claim 26, Abe, as modified by Haavisto, teaches the imaging device of claim 21, wherein the first set of RGB values provides pixel-level information of a captured image (see Abe, [0030], Lines 1-9) and the second set of RGB values provides proportion information between the red, green and blue components in light incident upon the color sensor (see Abe, [0023], Lines 6-12).

As to claim 27, Abe, as modified by Haavisto, teaches the imaging device of claim 26, wherein the proportion information comprises a first voltage representing a proportion of the red component, a second voltage representing a proportion of the green component, and a third voltage representing a proportion of the blue component (*A voltage is inherent in photodiode signal generation.*).

As to claim 28, Abe, as modified by Haavisto, teaches the imaging device of claim 27, wherein each of the first, second, and third voltages is a DC voltage derived from a supply voltage of the color sensor (see Abe, Figure 3, power switch "308").

Art Unit: 2622

As to claim **29**, Abe, as modified by Haavisto, teaches the imaging device of claim 27, wherein the set of average RGB signals generated by the second analog processing and A-D conversion unit comprises a digital representation of each of the first, second, and third voltages (see Haavisto, Figure 1, A/D “54”).

As to claim **30**, Abe, as modified by Haavisto, teaches the imaging device of claim 27, wherein the color sensor comprises: a red color filter coupled to a first photo sensor, the red color filter selected to propagate the red component in light incident upon the color sensor; a green color filter coupled to a second photo sensor, the green color filter selected to propagate the green component in light incident upon the color sensor; and a blue color filter coupled to a third photo sensor, the blue color filter selected to propagate the blue component in light incident upon the color sensor (see Abe, [0030], Lines 6-9).

As to claim **31**, Abe, as modified by Haavisto, teaches the imaging device of claim 26. Although it is not stated explicitly, **Official Notice** is taken that color interpolation circuits, which provide RGB values for each pixel are well known and expected in the art. One of ordinary skill in the art would recognize that this would allow a RGB value for each pixel to be obtained without providing 3 separate sensors.

4. Claims 22-25 and 32-40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Abe (US 2001/0030694) in view of Haavisto (US 2001/0007470) and further in view of Ikeda (US 2002/0018129).

As to claim **22**, Abe, as modified by Haavisto, teaches the imaging device of claim 21. The claim differs from Abe, as modified by Haavisto, in that it further requires a color

Art Unit: 2622

interpolation unit configured in part, to receive the set of average RGB signals generated by the second analog processing and A-D conversion unit and forward the set of average RGB signals to the white balance unit without further processing, thereby eliminating a processing time in the color interpolation unit.

In the same field of endeavor, Ikeda teaches an apparatus performing white balance. The apparatus also includes a signal processing circuit comprising a color interpolation unit and white balance circuit. Signals used for white balance are forwarded to the signal processing circuit (color interpolation unit) and then to the white balancing unit (Figure 1, [0031]). In light of the teaching, it would have been obvious to one of ordinary skill in the art to include the color interpolation circuit before the white balance circuit in Abe, because an artisan of ordinary skill in the art would recognize that this would provide the white balancing unit with RGB information for all pixels rather than some, thereby obtaining a truer white balance value.

As to claim 23, Abe, as modified by Haavisto and Ikeda, teaches the imaging device of claim 22, wherein the set of average RGB signals is a digital set of average RGB signals (see Haavisto, Figure 1, output of A/D “54”).

As to claim 24, Abe teaches an imaging device (Figure 1, digital still camera “100”) comprising: a first image processing path comprising: a color filter array configured to generate a first set of red-green-blue (RGB) values from light incident upon the color filter array (Figure 3, CCD “116”; [0030], Lines 1-9); and a first analog processing and analog-to-digital (A-D) conversion unit configured to receive the first set of RGB values and generate in response thereto, a set of RGB signals (Figure 3, A/D “222”); a second image processing path that is parallel to, and independent of, the first image processing path, the second image processing path

Art Unit: 2622

comprising: a color sensor that is independent of the color filter array (Figure 3, color temperature sensor "120" separate from the CCD "116"), the color sensor configured to generate a second set of RGB values from light incident upon the color sensor ([0023], Lines 6-9); and a common image processing path comprising: a white balance unit that uses intensity values for the RGB values to generate white balance information ([0036]). Although it is not stated explicitly, **Official Notice** is taken that the concept of amplifying signals accumulated on a photo sensor is well known and expected in the art. One of ordinary skill in the art would be motivated to do this, because it produces a more manageable value for processing (*The amplifier is the second analog processing circuit.*). The claim differs from Abe in that it further requires an analog-to-digital (A-D) conversion unit configured to receive the second set of RGB values and generate in response thereto, a set of average RGB values and a color interpolation unit configured to receive the set of average RGB values generated by the second analog processing and A-D conversion unit and forward the set of average RGB values to the white balance unit without further processing, thereby eliminating a processing time in the color interpolation unit.

In the same field of endeavor, Haavisto teaches a camera (Figure 1) performing white balance on an image taken by an image pickup unit. The camera utilizes a light measuring sensor, separate from the image pickup unit, that provides an overall intensity of the illuminating light used for balancing the intensities of the color components of the image produced by the image pickup unit ([0037]). The signal representing the overall intensity is accumulated on a photodiode. An analog-to-digital converter (Figure 1, "54") then converts that signal to a digital signal that is then forwarded to a control unit to perform the aforementioned white balancing ([0037]). In light of the teaching of Haavisto, it would have been obvious to convert the RGB

Art Unit: 2622

signals accumulated in the color temperature sensor of Abe to digital signals before being used for color temperature calculation, because an artisan of ordinary skill in the art would recognize the numerous advantages of utilizing digital signals in calculation.

In the same field of endeavor, Ikeda teaches an apparatus performing white balance. The apparatus also includes a signal processing circuit comprising a color interpolation unit and white balance circuit. Signals used for white balance are forwarded to the signal processing circuit (color interpolation unit) and then to the white balancing unit (Figure 1, [0031]). In light of the teaching, it would have been obvious to one of ordinary skill in the art to include the color interpolation circuit before the white balance circuit in Abe, because an artisan of ordinary skill in the art would recognize that this would provide the white balancing unit with RGB information for all pixels rather than some, thereby obtaining a truer white balance value.

As to claim 25, Abe, as modified by Haavisto and Ikeda, teaches a method of imaging (Figure 3, digital still camera "100"), the method comprising: providing a color filter array (Figure 1, CCD "116"); providing a color sensor that is independent of the color filter array (Figure 3, color temperature sensor "120" separate from the CCD "116"); generating a first set of red-green-blue (RGB) values from light incident upon the color filter array ([0030], Lines 1-9); converting the first set of RGB values into a set of digital RGB signals (Figure 3, A/D "222"); generating a second set of RGB values from light incident upon the color sensor ([0023], Lines 6-9), the second set of RGB values being independent of the first set of RGB values (Figure 3, color temperature sensor "120" separate from the CCD "116"); providing a white balance unit ([0036]); receiving in the white balance unit, the set of average RGB signals from the color interpolation unit; and generating in the white balance unit, white balance information from the

Art Unit: 2622

set of average RGB signals ([0042]). The claim differs from Abe in that it further requires the steps of converting the second set of RGB values into a set of average RGB signals; providing a color interpolation unit; propagating the set of average RGB signals through the color interpretation unit without processing in the color interpretation unit, thereby eliminating a processing time in the color interpolation unit.

In the same field of endeavor, Haavisto teaches a camera (Figure 1) performing white balance on an image taken by an image pickup unit. The camera utilizes a light measuring sensor, separate from the image pickup unit, that provides an overall intensity of the illuminating light used for balancing the intensities of the color components of the image produced by the image pickup unit ([0037]). The signal representing the overall intensity is accumulated on a photodiode. An analog-to-digital converter (Figure 1, "54") then converts that signal to a digital signal that is then forwarded to a control unit to perform the aforementioned white balancing ([0037]). In light of the teaching of Haavisto, it would have been obvious to convert the RGB signals accumulated in the color temperature sensor of Abe to digital signals before being used for color temperature calculation, because an artisan of ordinary skill in the art would recognize the numerous advantages of utilizing digital signals in calculation.

In the same field of endeavor, Ikeda teaches an apparatus performing white balance. The apparatus also includes a signal processing circuit comprising a color interpolation unit and white balance circuit. Signals used for white balance are forwarded to the signal processing circuit (color interpolation unit) and then to the white balancing unit (Figure 1, [0031]). In light of the teaching, it would have been obvious to one of ordinary to include the color interpolation circuit before the white balance circuit in Abe, because an artisan of ordinary skill in the art

Art Unit: 2622

would recognize that this would provide the white balancing unit with RGB information for all pixels rather than some, thereby obtaining a truer white balance value.

As to claim **32**, Abe, as modified by Haavisto and Ikeda, teaches the method of claim 25, wherein generating the first set of RGB values comprises generating pixel-level information of a captured image ([0030], Lines 1-9) and generating the second set of RGB values comprises generating proportion information between the red, green and blue components in light incident upon the color sensor ([0023], Lines 6-12).

As to claim **33**, Abe, as modified by Haavisto and Ikeda, teaches the method of claim 32, wherein generating of proportion information comprises generating of a first voltage representing a proportion of the red component, generating a second voltage representing a proportion of the green component, and generating a third voltage representing a proportion of the blue component (*A voltage is inherent in photodiode signal generation.*).

As to claim **34**, Abe, as modified by Haavisto and Ikeda, teaches the method of claim 33, wherein converting the second set of RGB values into the set of average RGB signals comprises generation of a digital representation of each of the first, second, and third voltages (see Haavisto, Figure 1, output of A/D “54”).

As to claim **35**, Abe, as modified by Haavisto and Ikeda, teaches the method of claim 33, further comprising: coupling a DC voltage into the color sensor; and generating the first, second, and third voltages from the DC voltage (see Abe, Figure 3, power switch “308”).

As to claim **36**, Abe, as modified by Haavisto and Ikeda, teaches the method of claim 33, wherein providing the color sensor comprises: coupling a red color filter to a first photo sensor, the red color filter selected to propagate the red component in light incident upon the color

Art Unit: 2622

sensor; coupling a green color filter to a second photo sensor, the green color filter selected to propagate the green component in light incident upon the color sensor; and coupling a blue color filter to a third photo sensor, the blue color filter selected to propagate the blue component in light incident upon the color sensor (see Abe, [0023], Lines 6-12).

As to claim 37, Abe, as modified by Haavisto and Ikeda, teaches the method of claim 32. Although it is not stated explicitly, **Official Notice** is taken that color interpolation circuits, which provide RGB values for each pixel are well known and expected in the art. One of ordinary skill in the art would recognize that this would allow a RGB value for each pixel to be obtained without providing 3 separate sensors.

As to claim 38, Abe, as modified by Haavisto and Ikeda, teaches the imaging device of claim 24, wherein the first set of RGB values provides pixel-level information of a captured image (see Abe, [0030], Lines 1-9) and the second set of RGB values provides proportion information between the red, green and blue components in light incident upon the color sensor ([0023], Lines 6-12).

As to claim 39, Abe, as modified by Haavisto and Ikeda, teaches the imaging device of claim 38, wherein the proportion information comprises a first voltage representing a proportion of the red component, a second voltage representing a proportion of the green component, and a third voltage representing a proportion of the blue component (*A voltage is inherent in photodiode signal generation.*).

As to claim 40, Abe, as modified by Haavisto and Ikeda, teaches the imaging device of claim 38. Although it is not stated explicitly, **Official Notice** is taken that color interpolation circuits, which provide RGB values for each pixel are well known and expected in the art. One

Art Unit: 2622

of ordinary skill in the art would recognize that this would allow a RGB value for each pixel to be obtained without providing 3 separate sensors.

***Conclusion***

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Anthony J. Daniels whose telephone number is (571) 272-7362.

The examiner can normally be reached on 8:00 A.M. - 5:30 P.M..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ngoc-Yen Vu can be reached on (571) 272-7320. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

AD  
3/8/2007

  
TUAN HO  
PRIMARY EXAMINER